



Please type a plus sign inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SF721 (08-00)

Section 101
Box Sec

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/863,179-9849

Filing Date May 23, 2001

First Named Inventor Matthew J. During

Group Art Unit 1646

Examiner Name Not Yet Assigned

Total Number of Pages in This Submission

Attorney Docket Number 102182-0012

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☒ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/
Incomplete Application

☒ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☒ Drawing(s) - Informal, 30 Sheets

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional
Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below)

Combined Declaration and
Power of Attorney
Statement Under 37 CFR Sec.
1.821(f)
Diskette containing Seq. Listing

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual Name

NUTTER, MCCLENNEN & FISH, LLP
Thomas J. Engellenner, Reg. No. 28,711

Signature

Date

October 3, 2001

034067.1

Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231, on the date shown below.

Dated: October 3, 2001

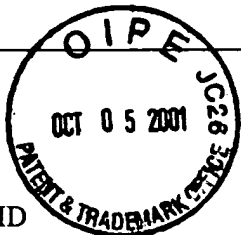
Signature:

#5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : During et al.
Application No. : 09/863,179
Filed : May 23, 2001
Entitled : GLUTAMIC ACID
DECARBOXYLASE (GAD)
BASED DELIVERY SYSTEMS
Docket No. : 102182-12



Group Art Unit: 1646

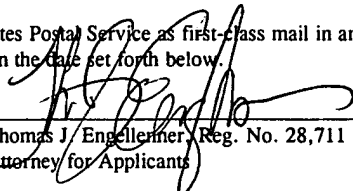
Examiner: Not Yet Assigned

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

3 Oct 2001
Date of Signature and Mail Deposit

By:


Thomas J. Engellenner, Reg. No. 28,711
Attorney for Applicants

STATEMENT UNDER 37 C.F.R § 1.821(f)

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

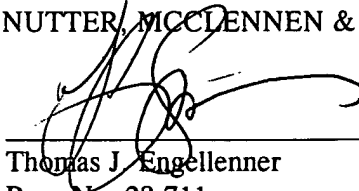
Dear Sir:

I hereby state that the content of the computer readable Sequence Listing accompanying this Statement is the same as the content of the Sequence Listing submitted in accordance with 37 C.F.R §1.821(c) and (e), respectively.

Respectfully submitted,

NUTTER, MCCLENNEN & FISH, LLP

Date: 3 Oct 2001


Thomas J. Engellenner
Reg. No. 28,711
Attorney for Applicants

One International Place
Boston, MA 02110-2699
Tel: (617)439-2948
Fax: (617)310-9948
1002914.1

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 10/3/01

Signature: _____

(Thomas J. Engellemer)

Docket No.: 102182-12
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Matthew During, et al.

Application No.: 09/863,179

Filed: May 23, 2001

For: GLUTAMIC ACID DECARBOXYLASE (GAD) BASED
DELIVERY SYSTEMS



Group Art Unit: 1646

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – mailed August 3, 2001, Applicants respectfully submit the following:

- Check in the amount of \$130.00 to cover the late filing fee for Missing Parts;
- Declaration and Power of Attorney executed by both inventors;
- Copy of the Notice to File Missing Parts of Nonprovisional Application;
- Statement Under 37 C.F.R. §1.821(f);
- Disk containing Computer Readable Sequence Listings;
- Copy of informal drawings with appropriate margin(s) pursuant to 37 CFR 1.84(g); and
- A Return Receipt Postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 102182-12.

Dated: 3 Oct. 2001

Respectfully submitted

By _____

Thomas J. Engellemer, Registration No.: 28,711
NUTTER, MCCLENNEN & FISH, LLP

One International Place
Boston, Massachusetts 02110-2699
Telephone: (617) 439-2948
Facsimile: (617) 310-9948
Attorneys for Applicants



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,179	05/23/2001	Matthew J. During	102182-12

021125
NUTTER MCCLENNEN & FISH LLP
ONE INTERNATIONAL PLACE
BOSTON, MA 02110



CONFIRMATION NO. 9640

FORMALITIES LETTER



OC000000006380393

Date Mailed: 08/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

09/11/2001 SMINASS1 00000001 09863179
FC:105 130.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is

09/11/2001 09:10:50

identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09863179-100501
FO500T"627E9860